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Decision

1 UNITED STATES DISTRICT COURT
2 SOUTHERN DISTRICT OF NEW YORK

-----x

3 ENRICHETTA RAVINA,

4 Plaintiff,

5 v.

16 Civ. 2137 RA

6 COLUMBIA UNIVERSITY, et al.,

7 Defendants.

8 -----x

11 June 22, 2018
12 2:00 p.m.

15 Before:

16 HON. RONNIE ABRAMS,

17 District Judge

I6MJRAVD

Decision

1 (Telephonically in open court)

2 (Case called)

3 THE COURT: Good afternoon to all of you.

4 Mr. Sanford, I have on the phone. Is that right?

5 MR. SANFORD: Yes, your Honor. Good. Afternoon.

6 THE COURT: Good afternoon.

7 We are here today regarding defendants' motion for
8 summary judgment. I don't think anyone requested oral
9 argument, so I am ready to rule today. If, however, there is
10 something you want to add, I promise to keep an open mind.

11 I am going to rule orally, and it is just because of
12 the July trial date. I assume you would rather, even if it
13 requires sitting here for a little bit, would like getting my
14 ruling and my reasoning sooner rather than later. That is my
15 intention today.

16 So the parties are familiar with the background of the
17 case and the general summary judgment standard. I am not going
18 to go over that. I will recite the relevant facts and the
19 standard of review for each claim as I discuss it.

20 As a preliminary matter, plaintiff's motions to strike
21 and to file a sur-reply are denied. I am denying defendant
22 Bekaert's motion for summary judgment and granting in part and
23 denying in part defendant Columbia's motion for summary
24 judgment. There are a lot of claims in this case, so I am
25 going to tell you how I've grouped them and how I'll discuss

I6MJRAVD

Decision

1 them today.

2 Plaintiff's complaint contains 18 counts. She has
3 brought all 18 against Columbia and the first four against
4 Professor Bekaert. The claims are brought pursuant to either
5 New York City's human rights law, Title VII or Title IX. Many
6 of the counts deal with the same allegations, so I have grouped
7 them as follows:

8 First, the city and federal hostile work environment
9 claims, Counts 3, 9 and 15;

10 Second, the city and federal retaliation claims,
11 Counts 4, 10 and 16;

12 Third, the city and federal quid pro quo claims,
13 Counts 2, 8 and 14; and

14 Fourth, the city and federal discrimination claims
15 related to tenure and termination, Counts 1, 5, 6, 7, 11, 12,
16 13, 17 and 18.

17 In short, for the reasons I'll outline this
18 afternoon, I am granting summary judgment on Bekaert's
19 nonsupervisory status, the federal quid pro quo claims against
20 Columbia, and any liability stemming from alleged direct
21 discrimination by Columbia. I am denying summary judgment as
22 to the rest of the claims.

23 In other words, I am allowing to go to trial all of
24 the claims alleging discrimination and retaliation against
25 Professor Bekaert as well as the claims against Columbia that

I6MJRAVD

Decision

1 are based on the following theories:

2 That Columbia negligently handled plaintiff's
3 complaints, allowing Bekaert's alleged retaliation and
4 discrimination to negatively affect her tenure prospects and
5 the claim that Columbia retaliated against plaintiff for her
6 complaints.

7 So I'll start with the hostile work environment claims
8 against Professor Bekaert and Columbia brought pursuant to both
9 federal and New York City law. I am denying summary judgment
10 on those claims except to the extent that I find that Bekaert
11 was not plaintiff's supervisor. So I'll first recite the
12 relevant standards.

13 At summary judgment, a plaintiff making a federal
14 hostile work environment claim must demonstrate that a
15 reasonable trier of fact could conclude:

16 One, that the workplace was permeated with
17 discriminatory intimidation that was sufficiently severe or
18 pervasive to alter the conditions of his or her work
19 environment;

20 Two, the specific basis exists for imputing the
21 conduct that created the hostile work environment to the
22 employer. Citing Rojas, 660 F.3d at 106. Courts should look
23 at the totality of circumstances and relevant factors including
24 the frequency and severity of the conduct, whether it was
25 physically threatening or humiliating, and whether it

I6MJRAVD

Decision

1 unreasonably interfered with the plaintiff's work performance.
2 Rivera, 743 F.3d at 20. The environment need not be
3 unendurable or intolerable before it is actionable, and the
4 severe or pervasive standard does not mean that employers are
5 free from liability in all but the most egregious cases.
6 Feingold, 366 F.3d at 150. Unwelcome sexual advances, requests
7 for sexual favors, and other verbal or physical conduct of a
8 sexual nature can create an actionable hostile work environment
9 claim. Meritor, 477 U.S. at 65.

10 For a city hostile work environment claim, Section
11 8-107(1)(a) of the New York City Administrative Code makes it
12 unlawful for an employer or an employee or agent thereof,
13 because of the gender of any person, to refuse to hire or
14 employ or to bar or to discharge from employment such person or
15 to discriminate against such person in compensation or in
16 terms, conditions or privileges of employment.

17 The City Human Rights Law is not co-extensive with its
18 federal and state counterparts. Rather, the city law has broad
19 and remedial purposes, and I am quoting from Section 8-130, and
20 "courts must analyze the law's claims separately and
21 independently from any federal and state law claims, construing
22 its provisions broadly in favor of discrimination plaintiffs to
23 the extent that such a construction is reasonably possible. I
24 am citing the Ya-Chen Chen case, 805 F.3d at 75. Rather than
25 set out different standards for hostile work environment and

I6MJRAVD

Decision

1 other types of gender discrimination claims, the city law
2 contains only the provision prescribing imposition of different
3 terms, conditions and privileges of employment based on gender.
4 Clarke, 2013 WestLaw 2358596, at *11.

5 To establish a claim under the city law, plaintiff
6 need only demonstrate by a preponderance of the evidence that
7 she has been treated less well than other employees because of
8 her gender. Mihalik, 715 F.3d at 110. Severity and
9 pervasiveness are relevant only to the issue of damages.
10 Furthermore, the discriminatory motive need not be the sole
11 motive. Id. at 113. In analyzing such claims, courts must
12 consider the totality of the circumstances.

13 Turning to the record in this case, plaintiff has
14 testified to an extensive history of what she characterizes as
15 unwarranted sexual advances by Defendant Bekaert. For example,
16 according to plaintiff, at a dinner with her in 2012, Bekaert
17 asked if she had a boyfriend, said it was good she didn't, and
18 laughed in reaction to her attempt to characterize the dinner
19 as merely one between colleagues. That is from plaintiff's
20 additional facts, at 357-360.

21 In a taxi after that dinner, plaintiff testified
22 Bekaert ran his hand down her back, 360. At another dinner in
23 2013, Bekaert allegedly boasted to plaintiff about his sexual
24 history, 367-370. Plaintiff testified such conduct continued
25 at least during fifteen more interactions in the Fall of 2013,

I6MJRAVD

Decision

1 381-92. Plaintiff also testified that Bekaert pulled her
2 toward him and kissed her after another dinner in September
3 2013, 387. At another dinner shortly afterwards, plaintiff
4 testified that Bekaert made another unwanted advance on her by
5 grasping her hand, 389. During some of these interactions,
6 plaintiff claims that Bekaert directed the conversation to the
7 topics of pornography and prostitutes, 391-392.

8 According to plaintiff, in the context of similarly
9 sexual talk, Bekaert told plaintiff that if she were nicer to
10 him, her academic papers would progress at a faster pace, at
11 399. The conduct allegedly continued in 2014, including an
12 instance when plaintiff testified that Bekaert told her that he
13 was horny after she had gone to see him in his office for work
14 purposes, 403.

15 In these instances, accepted as true at this stage,
16 and others make out a prima facie case for plaintiff's hostile
17 work environment claim under the relatively low standard of the
18 City Human Rights Law. See the Baez case, 2017 WestLaw, 57858,
19 at *5, as well as the severe or pervasive federal standard.

20 In response, Bekaert asserts that the defense that
21 such incidents were no more than petty slights or trivial
22 inconveniences, an argument which, if true, would relieve him
23 of liability. Mihalik, at 111. Columbia similarly argues that
24 Bekaert's conduct towards plaintiff was not unwelcome, and in
25 any event was not sufficiently severe or pervasive.

I6MJRAVD

Decision

1 At this stage, on this record, however, the Court
2 finds a reasonable jury could find otherwise. The incidents
3 described by plaintiff were not isolated -- they were
4 purportedly numerous and spread out over a multi-year period.
5 Bekaert and Columbia characterize many of the interactions with
6 plaintiff very differently than she does, but the Court cannot
7 at this stage assume the role of the jury and determine matters
8 of credibility and conflicting testimony.

9 The contrary evidence defendants may cast plaintiff's
10 allegations into doubt, but only so much as to leave the matter
11 deeply disputed. The Court finds that the conduct at issue, as
12 described by plaintiff, is not so innocuous, incredible or
13 isolated that no reasonable jury could find Bekaert liable
14 under either the city law or the federal standard.

15 To impute liability for Bekaert's conduct to Columbia,
16 however, requires additional findings. Under the city law, a
17 reasonable jury must be able to find that Columbia knew of
18 Bekaert's conduct and failed to take immediate and appropriate
19 corrective action. See New York City Administrative Law
20 8-107(13)(b)(2). Under the federal standard, either the liable
21 employee must be a supervisor, or, if he is only a co-worker,
22 the employer must have acted negligently, i.e., not monitored
23 the workplace, failed to respond to complaints, failed to
24 provide a system for registering complaints, or effectively
25 discouraged complaints from being filed. See the Vance case,

I6MJRAVD

Decision

1 570 U.S. at 449. An employer may try to show that it exercised
2 reasonable care to prevent and promptly correct any sexual
3 harassing behavior and that a plaintiff-employee unreasonably
4 failed to take advantage of any corrective opportunities
5 provided. Townsend, 679 F.3d at 50.

6 Columbia argues first Bekaert was not a supervisor as
7 a matter of law. The Court agrees with Columbia on this point.

8 A supervisor is an employee who can take tangible
9 employment actions against another employee, meaning actions
10 such as hiring, firing, failure to promote, reassignment with
11 significantly different responsibilities, or a decision causing
12 a significant change in benefits. Vance, at 431.

13 Here, plaintiff states that Bekaert assigned her work,
14 could exclude her from their research project, and evaluated
15 her performance. She also argues that there is a natural
16 asymmetry of power between a senior and junior faculty member.
17 But those traits fall short of the necessary tangible
18 employment action mandated by the Supreme Court in Vance. See
19 the Murphy case, 2018 WestLaw 1831847, at *6-7. Plaintiff's
20 cited cases, all but one of which were decided well before the
21 Supreme Court's Vance opinion, do not convince the Court
22 otherwise.

23 Plaintiff has also alleged, however, Columbia acted
24 negligently in handling Bekaert's conduct. Although a close
25 question, in my view, the Court ultimately agrees with

I6MJRAVD

Decision

1 plaintiff that this is a triable issue for a jury. Plaintiff
2 alleges that Columbia conducted a delayed and inadequate
3 investigation of Bekaert's conduct that resulted only in
4 training, did not conduct an investigation of Bekaert's alleged
5 retaliation against plaintiff, and that a Columbia
6 administrator attempted to dissuade her from filing complaints.

7 Specifically, plaintiff asserts that it took Columbia
8 two months after her initial complain to formally launch an
9 investigation and that the investigator told Columbia, before
10 he had interviewed any witnesses, that Columbia had taken all
11 the right steps. Plaintiff's Exhibits 135 and 139. Plaintiff
12 also testified that the Columbia Business School Dean expressed
13 frustration about dealing with her complaint and predicted that
14 nothing will come out of it. Ravina deposition at 227-33.

15 While Columbia contends that its response was
16 adequate, at this stage the Court must view the evidence in the
17 light most favorable to plaintiff and assume a jury may credit
18 her version of events. See Petrosino, 385 F.3d at 226.
19 Perhaps the most serious event -- the alleged attempt to
20 dissuade plaintiff's complaint -- depends on fact-intensive
21 determinations of credibility and context best left to a jury.
22 Plaintiff may not have been entitled to her preferred outcome
23 of Columbia's investigation, but a failure to investigate or an
24 attempt to discourage a complaint, if true, would not meet the
25 required standard of being reasonably calculated to address the

I6MJRAVD

Decision

1 problem. See Cowan, 2017 WestLaw, at *6. Thus, there is a
2 triable issue as to whether Columbia acted negligently in
3 addressing plaintiff's complaints against Bekaert and whether
4 it failed to take immediate and appropriate corrective action.

5 Accordingly, defendants' motions for summary judgment
6 on both the city and federal hostile work environment claims
7 are, therefore, denied except as to the supervisory issue.

8 I next turn to defendants' motions for summary
9 judgment on plaintiff's retaliation claims brought pursuant to
10 both federal and city law. I am denying summary judgment on
11 those claims.

12 A plaintiff making a federal retaliation claim must
13 offer evidence that she participated in a protected activity,
14 suffered an adverse employment action, and there is a causal
15 connection between her engaging in the protected activity and
16 the adverse employment action. Ya-Chen Chen, 805 F.3d at 70.
17 The defendant must also know about the protected activity.
18 Baldwin, 690 Fed.App'x at 697. Such a showing establishes a
19 presumption of retaliation, which a defendant may rebut by
20 articulating a legitimate, non-retaliatory reason for the
21 adverse action. The burden then shifts back to the plaintiff
22 to establish that the desire to retaliate was the but-for cause
23 of the action. Id.

24 Under Section 8-107(7) of the New York City
25 Administrative Code, retaliation in any manner by those engaged

I6MJRAVD

Decision

1 in discriminatory conduct is prohibited against persons who
2 oppose such discriminatory practices or challenged or reported
3 the practice to authorities. Plaintiff alleging such
4 retaliation must show a reasonable jury could conclude that a
5 defendant's conduct was reasonably likely to deter a person
6 from engaging in protected activity. *Fincher*, 604 F.3d at 723.
7 There need not be a materially adverse employment action by a
8 defendant, *Id.*, and summary judgment is appropriate only if the
9 record establishes as a matter of law that retaliation played
10 no role in the defendant's actions. *Ya-Chen Chen* at 76. New
11 York courts have found a jury is generally best suited to
12 evaluate the impact of retaliatory conduct in the context of
13 heavy world of workplace realities. *Mihalik*, at 112.

14 Plaintiff has based her retaliation claims on
15 Bekaert's conduct, Columbia's decisions surrounding her denial
16 of tenure and leave, and on imputing Bekaert's behavior to
17 Columbia.

18 Turning first to Bekaert's conduct, it is undisputed
19 that plaintiff complained of his conduct both to Columbia and
20 via his lawsuit, and that Bekaert knew of her complaints.
21 Thus, plaintiff engaged in protected activity and Bekaert knew
22 of it.

23 Plaintiff alleges that Bekaert took the following
24 adverse actions against her in retaliation for her protected
25 activity:

I6MJRAVD

Decision

1 First, she has presented evidence Bekaert allegedly
2 disparaged her professional reputation by emailing other
3 academics and economists, including Bekaert's then-girlfriend
4 and other close friends, calling plaintiff a damn evil bitch,
5 berserk, insane and incredibly evil, sick and crazy, and other
6 variations on the same theme.

7 Second, plaintiff has testified and presented evidence
8 Bekaert allegedly obstructed plaintiff's research work. On
9 October 27, 2014, citing reasons related to the status of the
10 research data, Bekaert wrote, "I no longer think it is a good
11 idea for plaintiff to work on the research project by herself."

12 Plaintiff also asserts Bekaert delayed her work by not
13 responding to various requests and drafts in a timely manner,
14 had her perform time-wasting exercises, and threatened to
15 revoke her access to data. Plaintiff has testified that these
16 and other delays were intended to harm her career as punishment
17 for her protected activity. A number of faculty at Columbia's
18 business school also expressed objections, stating in a January
19 22, 2016 letter to business school administrators that Bekaert
20 "has not met his obligation as co-author and senior colleague
21 to to do all he can to speed the process of having his and
22 plaintiff's joint work reviewed and published, knowing that
23 failing to do so would have adverse consequences for
24 plaintiff's prospects for tenure, calling the circumstances
25 highly unusual and regrettable."

I6MJRAVD

Decision

1 Plaintiff cites a number of angry messages Bekaert
2 sent to others about her as evidence of the causal connection
3 between such actions and their retaliatory nature. In one such
4 email, Bekaert wrote of plaintiff in the context of academic
5 publishing that "if she goes it alone against my will, I will
6 stop her. I know every single editor." Plaintiff's Exhibit
7 127.

8 In response, Bekaert disputes the nature of his work
9 with plaintiff, arguing that he and plaintiff worked
10 productively and that any undue delays were the fault of
11 plaintiff. Bekaert dismisses plaintiff's other allegations of
12 retaliation as vague and conclusory. The Court disagrees at
13 this stage. Plaintiff has identified a number of specific
14 actions she alleges to have been made against her in purported
15 retaliation for protected activity. How to credit and
16 characterize those actions is a disputed factual matter for the
17 jury, as is causation. Bekaert's anger towards plaintiff in
18 the aftermath of her complaints has been well-demonstrated,
19 allowing a reasonable jury to conclude that retaliation, rather
20 than work-related reasons, motivated Bekaert's subsequent
21 conduct, and that the conduct was reasonably likely to deter
22 plaintiff from protected activity. See Vega, 801 F.3d at 90
23 and Fincher at 723.

24 At trial, Bekaert may of course argue his
25 communications about plaintiff and his girlfriend and other

I6MJRAVD

Decision

1 friends were simply his personal opinions about a contentious
2 matter. But because the people to whom Bekaert disparaged
3 plaintiff plausibly could have been an effect on her career, a
4 jury must decide the disputed nature of such communications and
5 whether the intent behind them was retaliation. Arguments that
6 any delays were either beneficial to plaintiff or the fault of
7 plaintiff are similarly disputed factual issues the Court
8 cannot resolve on summary judgment.

9 Turning next to Columbia's conduct concerning
10 plaintiff's tenure and leave denial, Columbia argues there is
11 no causal connection between her complaints as to Bekaert's
12 behavior in 2014 and the denial of tenure two years later. It
13 contends there is no evidence of improper motive on the part of
14 Columbia and the time gap between the two events is too great
15 to sustain the claim.

16 Plaintiff counters there were multiple instances in
17 which he engaged in protected activity, including an August 21,
18 2015 email to a Columbia division chair, who communicated its
19 content to administrators, that she might "go to court," the
20 filing of her September 23rd, 2015 state lawsuit; and
21 conversations with Columbia's legal counsel concerning possible
22 claims against the university.

23 Columbia's message apologizing that an earlier letter,
24 which arguably appeared to grant Ravina leave, had actually
25 been a "huge mistake" came less than a week, less than one week

I6MJRAVD

Decision

1 after the lawsuit, on September 29th, 2015. Furthermore, on
2 December 15, 2015, plaintiff's counsel told Columbia's counsel
3 that a lawsuit would soon be filed. The following day, in an
4 email marked "Important Urgent Update," a Columbia
5 administrator wrote that "after meetings with lawyers," it was
6 decided that plaintiff needs to go through the tenure process
7 now, as opposed to the tenur process delay plaintiff testified
8 she had been previously been notified of through her prior
9 counsel. With roughly a month to submit her materials on what
10 her division chair described as a "compressed timeline,"
11 plaintiff asked for personal leave, but that request was
12 denied. The Court finds that this close time proximity between
13 plaintiff's protected activity and Columbia's actions is
14 sufficient to establish a causal connection for summary
15 judgment purposes. See, e.g., Summa v. Hofstra, 708 F.3d at
16 128.

17 Columbia articulates legitimate, non-retaliatory
18 reasons for its conduct: it argues there is no evidence of
19 influence by Mr. Bekaert on the tenure decision, which was
20 based on plaintiff's inadequate academic publishing record;
21 that the possible June grant of leave to plaintiff was, in
22 fact, an honest mistake; and that Columbia offered plaintiff a
23 break in service rather than leave but plaintiff refused it.
24 Columbia also emphasizes that plaintiff never formally
25 requested leave.

I6MJRAVD

Decision

1 Plaintiff counters that she is not arguing that the
2 merits of the tenure decision, only abbreviated timeline and
3 conditions that led to it; that the grant of leave is a
4 disputed fact question; and that the offered break in service
5 was illusory and would have required demotion in title. Given
6 the highly factual nature of these issues, the Court agrees
7 that they should not be decided on summary judgment.
8 Columbia's stated reasons may provide ample evidence with which
9 to rebut any appearance of retaliation, but they not prevent
10 retaliation from being a genuinely disputed factual issue, one
11 that depends on determining context and credibility, as well as
12 the application of Columbia's personnel policies. Again this
13 was another very close question, in my view.

14 As for Columbia's contention that plaintiff has not
15 demonstrated sufficient knowledge of her protected activity,
16 plaintiff's communications to Columbia's counsel and
17 high-ranking faculty members demonstrate the required "general
18 corporate knowledge." See the Gordon case, 232 F.3d at 116.

19 In sum, weighing whether Columbia's given reasons
20 preclude retaliation as a but-for cause, of which there may be
21 more than one, is a question best left for the jury. See Zann
22 Kwan, 737 F.3d at 846 (Note 5).

23 The Court also denies summary judgment on the
24 retaliation claims insofar as they're based on imputing
25 Bekaert's allegedly retaliatory behavior to his employer,

I6MJRAVD

Decision

1 Columbia. As discussed above, a reasonable jury could find
2 that Bekaert retaliated against plaintiff for her complaints
3 about his conduct. The parties only referred to this
4 implicitly, but a reasonable jury could, on the facts currently
5 on the record, find Columbia liable for such conduct pursuant
6 to the so-called "Cat's Paw" liability, theory of liability.

7 Cat's Paw liability refers to a situation in which an
8 employee is subjected to an adverse employment action by an
9 employer that has no impermissible motive, but has been
10 manipulated by a subordinate who does not have such motive --
11 who does have such motive and intended to bring about the
12 adverse employment action. See the Vasquez case from the
13 Second Circuit from 2016, 835 F.3d at 272.

14 The Court has already found that Bekaert was not
15 plaintiff's supervisor, but the Second Circuit has extended
16 Cat's Paw liability to cases involving co-workers "if the
17 employer's own negligence gives effect to the co-worker's
18 animus and causes the victim to suffer an adverse employment
19 action." See Vasquez at 276. Here, plaintiff alleges that
20 Bekaert retaliated against her, that Columbia insufficiently
21 investigated and acted on her complaints about retaliation, and
22 that her tenure record suffered from the ensuing obstruction of
23 her research and reputational harm, leading to her tenure
24 denial and termination.

25 Thus, a reasonable jury could infer that Bekaert's

I6MJRAVD

Decision

1 retaliatory conduct was a but-for, and intentional, cause of
2 plaintiff's tenure denial and subsequent termination, and that
3 liability for such conduct should be imputed to Columbia for
4 its alleged negligence. For the same reasons, summary judgment
5 is denied on plaintiff's city retaliation claim against
6 Columbia, because a triable issue remains whether Columbia
7 "failed to take immediate and appropriate corrective action" on
8 plaintiff's complaints of retaliation. See the New York City
9 Administrative Code, 8-107 (13)(b)(2).

10 The Court, therefore, denies defendants' motion for
11 summary judgment on plaintiff's federal and city retaliation
12 claims. The Court notes that the denial of summary judgment or
13 retaliation extends to a denial of summary judgment on
14 plaintiff's claims of unjust termination against Columbia,
15 Counts 6, 12 and 18, insofar as those counts in the complaint
16 appear to be based on a theory of retaliation against
17 plaintiff's protected activities.

18 The Court next turns -- and we have a few more minutes
19 left -- to defendants' motions for summary judgment on
20 plaintiff's quid pro quo sexual harassment claims brought
21 pursuant to both federal and city law. I am granting summary
22 judgment as to the federal claims against Columbia, but denying
23 it as to the city claim against Columbia and Bekaert.

24 To establish a federal prima facie case of quid pro
25 quo harassment, a plaintiff must present evidence that she was

I6MJRAVD

Decision

1 subjected to unwelcome sexual conduct and that her reaction to
2 that conduct was then used as the basis for decisions affecting
3 the compensation, terms, conditions or privileges of her
4 employment. *Fitzgerald*, 251 F.3d at 356. The decision taken
5 must be a tangible employment action, meaning a hiring, firing,
6 failure to be promoted, reassignment with different
7 responsibilities, or a change in benefits. *Schiano*, 445 F.3d
8 at 604. In other words, a tangible employment action is
9 generally the type of official documented action undertaken by
10 a supervisor. See *Ellerth*, 524 U.S. at 762.

11 As I have already explained, Bekaert was not
12 plaintiff's supervisor. Therefore, he did not have the
13 authority to take tangible employment action against her.
14 Plaintiff has presented no evidence to the contrary.

15 Plaintiff contends that the appropriate standard is
16 actually whether there has been a materially adverse change to
17 employment conditions, citing an Eastern District case, but
18 that case did not deal with a quid pro quo harassment claim
19 which Second Circuit precedent makes clear applies the higher
20 showing of tangible employment action. See *Schiano*, 445 F.3d
21 at 604. Plaintiff has not made that showing.

22 Columbia's motion for summary judgment is, therefore,
23 granted as to plaintiff's federal quid pro quo allegations
24 against Columbia, Counts 8 and 14.

25 The New York City Human Rights Law under which

I6MJRAVD

Decision

1 plaintiff brings quid pro quo claims against both Bekaert and
2 Columbia has uniquely broad and remedial purposes and does not
3 require a tangible employment action such as hiring or firing.
4 See the Williams case, 61 A.D.3d at 40. Plaintiff's evidence
5 that Bekaert allegedly conditioned her work progress on her
6 attitude towards him and allegedly retaliated against him when
7 she resisted his advances is, thus, sufficient to make out a
8 triable quid pro quo claim under New York City law. As for the
9 reasons allegedly outlined, namely an alleged attempt to
10 dissuade plaintiff's complaint and an alleged failure to
11 adequately investigate and correct Bekaert's conduct, there is
12 a triable issue as to whether Columbia should be held liable
13 for Bekaert's alleged conduct. Summary judgment is, therefore,
14 denied as to the city quid pro quo claim, Count 2, against
15 Bekaert and Columbia.

16 I next turn to Columbia's motion for summary judgment
17 as to plaintiff's discrimination claims against it that stem
18 from her denial of tenure and her termination. I am granting
19 the motion in part and denying the motion in part. As for her
20 retaliation claims, plaintiff's discrimination claims are
21 subject to a similar three-part-burden-shifting test, although,
22 of course, the elements differ somewhat. Plaintiff can
23 establish a prima facie case of discrimination, by showing that
24 (1) she belonged to a protected class, in this case, based on
25 her gender; (2) she was qualified for sought-after position;

I6MJRAVD

Decision

1 (3) she was denied that position; and (4) the denial occurred
2 under circumstances giving rise to an inference of
3 discrimination. *Roge*, 257 F.3d at 168.

4 Plaintiff has not created a triable issue whether her
5 tenure denial occurred under circumstances giving rise to an
6 inference of discrimination of Columbia's part, under either
7 federal or New York City Human Rights Law standards. No
8 reasonable jury could find that Columbia discriminated against
9 plaintiff based only on one administrator's "soap opera"
10 comment and the, at best, marginally different treatment of one
11 male professor decades ago. Even under the less stringent
12 "treated less well" standard of the New York City Human Rights
13 Law, plaintiff still must show the treatment was "because of a
14 discriminatory intent," and plaintiff has not made such intent
15 for Columbia a triable issue. *Mihalik* at 110.

16 Plaintiff's discrimination claims are, however, still
17 viable under the Cat's Paw theory of liability. As noted
18 previously, that theory holds an employer may be liable for an
19 adverse employment action if it, through negligence, gives
20 effect to the impermissible animus of a victim's co-worker.
21 See *Vasquez*, at 273. A reasonable jury could find that Bekaert
22 played a meaningful role in plaintiff's tenure denial and
23 termination by obstructing her research and attacking her
24 reputation and, thus may have "impermissibly tainted the
25 ultimate employment decision. *Bickerstaff*, 196 F.3d at 450.

I6MJRAVD

Decision

1 Look, as discussed, there are disputed factual issues
2 as to the adequacy of Columbia's handling of plaintiff's
3 complaints, including the testimony that an administrator
4 discouraged the complaint and that the investigation was
5 delayed as well as prejudged by the investigator. A reasonable
6 jury could find that Columbia was negligent in allegedly
7 failing to adequately investigate and stop such behavior, and
8 in doing so, gave effect to Bekaert's allegedly discriminatory
9 animus. See Velazquez-Perez, 753 F.3d at 274.

10 Columbia may argue at trial that officials responsible
11 for making a tenure decision based their decision exclusively
12 on an independent evaluation of her Ravina's unobstructed
13 record and may well prevail, but that is another highly
14 disputed factual matter for the jury to decide. I understand
15 Columbia's position that the tenure decision itself is entitled
16 to substantial deference, and I don't disagree, plaintiff's
17 claims are based on the conditions that shape the vote, not the
18 vote itself.

19 In sum, no reasonable jury could find that Columbia
20 discriminated against plaintiff on the basis of her gender, but
21 triable issues remain as to whether Columbia should have
22 liability for Bekaert's discriminatory conduct imputed to it
23 for its alleged negligence. The Court, therefore, grants
24 summary judgment on plaintiff's discrimination claims as they
25 relate to any alleged direct discrimination by Columbia, but

I6MJRAVD

Decision

1 denies it as they relate to imputing liability to Columbia
2 based on Bekaert's alleged discriminatory conduct.

3 I will put this in an order for you so it will be
4 clear exactly how I ruled as to each claim.

5 In sum, the Court today has granted summary judgment
6 on the following:

7 Plaintiff's federal quid pro quo harassment claims
8 against Columbia, Counts 8 and 14.

9 Plaintiff's discrimination claims against Columbia,
10 Counts 1, 5, 6, 7, 11, 12, 13, 17 and 18, but only as to the
11 alleged direct discrimination by Columbia. Those claims
12 survive summary judgment insofar as they are based on imputing
13 Bekaert's allegedly discriminatory conduct to Columbia based on
14 Columbia's alleged negligence in handling allegations regarding
15 the conduct. Additionally, as noted, the termination claims,
16 Counts 6, 12 and 18, also survive based on retaliation.

17 Obviously, none of my rulings express any view on the
18 underlying merits of the case which are highly fact- and
19 credibility-dependent. Based on my rulings, I think the issues
20 that are primarily going to be disputed at trial are:

21 Whether Bekaert harassed and discriminated against
22 plaintiff;

23 Whether Bekaert retaliated against plaintiff and
24 obstructed her research and tenure prospects; and

25 Whether, in light of the close time proximity between

I6MJRAVD

Decision

1 plaintiff's protected activity and certain actions by Columbia,
2 in addition to related factual disputes, whether Columbia
3 retaliated against plaintiff, as well as whether Columbia
4 handled plaintiff's complaints negligently and in so doing gave
5 effect to any animus on the part of Bekaert.

6 I assume you will be ordering the transcript. As I
7 said, I will put sort of the bottom line in a ruling later
8 today. Thank you for your patience today. I just wanted to
9 get you a ruling, given the trial is coming upon us. We are
10 set to proceed for the jury trial on June 9th. I expect to
11 rule on the redactions that have been requested as well as the
12 motions in limine either before or at the July 2nd final
13 pretrial conference. Please do let me know if my ruling today
14 in any way moots any of the motions that have been made. That
15 is my ruling.

16 I know I just got a letter from plaintiff today
17 objecting to the proposed exhibit list. That motion is denied.

18 I am happy to go off the record if you wanted to, and
19 you may need time to think about this and talk to your clients,
20 but I will say this. If settlement is a possibility and there
21 is any way the Court can assist in that effort, please let me
22 know. I know that there were scheduling issues and issues with
23 staffing, and I know that was inconvenient, but I felt like we
24 couldn't move the trial in light of plaintiff's availability
25 and my court schedule.

I6MJRAVD

Decision

1 We are going to proceed to trial on June 9th. The
2 only thing that I will say is that I have heard from the jury
3 room that July 9th is a very busy day. I can check back in
4 closer to that date and let you know, but if it seems like,
5 because they always give precedent to criminal trials, if it
6 seems like we are not going to be able to choose a jury, we may
7 start on the 10th. I would rather make that decision a little
8 closer to see if all those trials are still going, if you have
9 scheduling issues with witnesses, and it would be preferable to
10 know you can start on the 10th, I can do that, too. I don't
11 know if you have authorities on that now. I don't know if you
12 want to be in touch and see how things go. I don't know if
13 there are particular scheduling issues with respect to
14 witnesses.

15 MS. PLEVAN: Are there days when you know that you
16 will not sit?

17 THE COURT: Let me get my calendar.

18 MS. PLEVAN: I don't know if you sit on Fridays.

19 THE COURT: I usually don't. Let me look at the
20 calendar. I usually always sit on Fridays when a jury is
21 deliberating, but I make exceptions. If you, for scheduling or
22 other reasons, wanted to sit on that Friday, and we weren't
23 sitting on Monday, I would be happy to do that and rearrange my
24 schedule. Let me know in advance and I can do that.

25 MS. PLEVAN: But, otherwise, we would not --

I6MJRAVD

Decision

1 THE COURT: Otherwise, I think we would not. Again I
2 am open to hearing from you because I can accommodate my
3 schedule. Do you still anticipate the trial will last
4 approximately two weeks?

5 MS. PLEVAN: Two weeks or a little more depending on
6 the motions in limine, obviously.

7 THE COURT: All right.

8 MS. PLEVAN: I think we said 10 days, actually.

9 THE COURT: 10 days, okay. I think the only thing is,
10 I do have a criminal trial scheduled to start on the 23rd. I
11 think in light of that, I probably will sit on the Fridays
12 unless that is an issue for anyone and I will just rearrange my
13 schedule accordingly. Then hopefully we'll get through the
14 trial in those two weeks and I won't have to put off the other
15 case. Why don't we, and to go back on what I said, assume we
16 will sit on the Fridays, okay?

17 I will, but why don't we be in touch. Ms. Cavale will
18 be in touch with you the week of June 2nd and see if June 9th
19 still seems like so busy a day for the jury room, in which case
20 we'll start on the 10th, okay? Thank you for all coming in
21 today. Thank you for your patience in sitting and listening,
22 and again you can, of course, get the transcript from the Court
23 reporters.

24 (Court adjourned)
25